

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Katsuyuki HAMADA, et al.
Appl. No. : 10/576,047
Filed : April 14, 2006
For : CANCER GENE THERAPEUTIC
DRUG
Examiner : Kevin Kai Hill
Art Unit No. : 1633

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April 9, 2008

(Date)


Kirk Hahn, Reg. No. 51,763

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants noticed an omission on the Foreign Applications. As indicated in the Declaration signed by the inventors, the present application claims priority to the **Japanese Patent Application Number 2003-354983 filed October 15, 2003**. Please issue a corrected official filing receipt to reflect the true priority data. SAs this error appears to have been made at the U.S. Patent and Trademark Office, we believe no fees to be required. However, should any fees be necessary for this request, please charge them to my credit card.

Enclosed in support of this Request are the following:

- (X) A copy of the Declaration.
- (X) A copy of the Filing Receipt indicated the true Foreign Application data.

Appl. No. : 10/576,047
Filed : April 14, 2006

Respectfully submitted,

Dated: April 8, 2008

By: 

Kirk Hahn

Registration No. 51,763

Agent of Record

Customer No. 038,051

(714) 544-2934

5120621
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/576,047	04/14/2006	1632	525	TSU-006	20	2

CONFIRMATION NO. 8849

38051
KIRK HAHN
14431 HOLT AVE
SANTA ANA, CA92705

FILING RECEIPT

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Katsuyuki Hamada, Matsuyama-shi, JAPAN;
Akinobu Goto, Kobe-shi, JAPAN;
Toshiro Shirakawa, Kobe-shi, JAPAN;

Assignment For Published Patent Application

THE NEW INDUSTRY RESERCH ORGANIZATION, KOBE-SHI, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 038051

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15220 10/15/2003

Foreign Applications

JAPAN 2003-354983 10/15/2003

← please add.

If Required, Foreign Filing License Granted: 02/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is
US10/576,047

Projected Publication Date: 06/07/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

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PTO/SB/81 (10-01)

Approved for use through 10/31/2002. OMB 0631-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing or <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (c)) required)	Attorney Docket Number	TSU-008
	First Named Inventor	HAMADA, Katsuyuki
	COMPLETE IF KNOWN	
	Inter. Appl. Number	PCT/JP2004/015220
	Inter. Filing Date	October 15, 2004
	Art Unit	Unknown
Examiner Name	Unknown	

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CANCER GENE THERAPEUTIC DRUG

the specification of which

☒ is attached hereto

OR

☐ was filed on MM/DD/YYYY as United States Application Number or PCT International Application Number XX/XXX,XXX and was amended on MM/DD/YYYY (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent inventors or plant breeders rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign filing date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
				YES NO
2003-354983	Japan	10/15/2003	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION -- Utility Or Design Patent Application

Direct all correspondence to ☒ Customer Number or Bar Code Label **038051** or ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name **Katsuyuki**
(first and middle (if any))

Family Name **HAMADA**
or Surname

Inventor's
Signature

Katsuyuki Hamada

Date **4/9/06**

Residence: City **Matsuyama-shi**

State **Ehime**

Country **JAPAN**

Citizenship **JAPAN**

Mailing Address **Room406, Nishishih Heights, 1-1-8, Nishishih**

City **Matsuyama-shi**

State **Ehime**

ZIP **7900931**

Country **JAPAN**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name **Akinobu**
(first and middle (if any))

Family Name **GOTO**
or Surname

Inventor's
Signature

Akinobu Goto

Date **4/12/06**

Residence: City **Kobe-shi**

State **Hyogo**

Country **JAPAN**

Citizenship **JAPAN**

Mailing Address **Heathcote Mikage Shironomae, 1430, Mikage Shironomae, Mikage-cho, Higashimada-ku**

City **Kobe-shi**

State **Hyogo**

ZIP **6580056**

Country **JAPAN**

☒ Additional inventors are being named on the **1** supplemental Additional Inventor(s) sheet(s); PTO/SB/02A attached hereto

(Page 2 of 3)

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet <div style="text-align: right;">Page ---3--- of ---3---</div>
--------------------	--

NAME OF ADDITIONAL JOINT INVENTOR:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
---	---

Given Name Toshiro (first and middle [if any])	Family Name SHIRAKAWA or Surname
--	--

Inventor's Signature 白川 利雄	Date 6 Apr 2006
-----------------------------------	------------------------

Residence City Kobe-shi	State Hyogo	Country JAPAN	Citizenship JAPAN
--------------------------------	--------------------	----------------------	--------------------------

Mailing Address 2-8-5, Shinoharakitamachi, Nada-ku

City Kobe-shi	State Hyogo	ZIP 6570068	Country JAPAN
----------------------	--------------------	--------------------	----------------------

NAME OF ADDITIONAL JOINT INVENTOR:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
---	---

Given Name (first and middle [if any])	Family Name or Surname
---	---------------------------

Inventor's Signature	Date
----------------------	------

Residence City	State	Country	Citizenship
----------------	-------	---------	-------------

Mailing Address

City	State	ZIP	Country
------	-------	-----	---------

NAME OF ADDITIONAL JOINT INVENTOR:	<input type="checkbox"/> A petition has been filed for this unsigned inventor
---	---

Given Name (first and middle [if any])	Family Name or Surname
---	---------------------------

Inventor's Signature	Date
----------------------	------

Residence: City	State	Country	Citizenship
-----------------	-------	---------	-------------

Mailing Address

City	State	ZIP	Country
------	-------	-----	---------

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-766-9199) and select option 2.

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PTO/SB/81 (02-01)

Approved for use through 10/31/2012, OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

International Application Number: PCT/JP2004/015220

International Filing Date: October 15, 2004

First Named Inventor: HAMADA, Katsuyuki

Title: CANCER GENE THERAPEUTIC DRUG

Group Art Unit: Unknown

Examiner Name: Unknown

Attorney Docket Number: TSU-008

I hereby appoint:

☒ Practitioners at Customer Number **038051**

OR

☐ Practitioner named below:

Name

KIRK HAHN

Registration Number

51,763

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

<input type="checkbox"/> Firm or Individual Name	Kirk Hahn				
Address	14431 Holt Avenue				
Address					
City	Santa Ana	State	California	Zip	92705
Country	United States of America				
Telephone	714-544-2934	Fax	714-544-2934		

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Katsuyuki HAMADA
Signature	<i>Katsuyuki Hamada</i>
Date	8/9/06

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of **3** are submitted.

Bardon Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

International Application Number: PCT/JP2004/015220

International Filing Date: October 15, 2004

First Named Inventor: HAMADA, Katsuyuki

Title: CANCER GENE THERAPEUTIC DRUG

Group Art Unit: Unknown

Examiner Name: Unknown

Attorney Docket Number: TSU-008

I hereby appoint:

☒ Practitioners at Customer Number 038051

OR

☐ Practitioner named below:

Name
KIRK HAHN

Registration Number
51,763

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

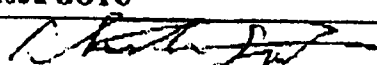
<input type="checkbox"/> Firm or Individual Name	Kirk Hahn				
Address	14431 Holt Avenue				
Address					
City	Santa Ana	State	California	Zip	92705
Country	United States of America				
Telephone	714-544-2934	Fax	714-544-2934		

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Akinobu GOTO
Signature	
Date	4/12/06

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 3 are submitted.

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

International Application Number: **PCT/JP2004/015220**
International Filing Date: **October 15, 2004**
First Named Inventor: **HAMADA, Katsuyuki**
Title: **CANCER GENE THERAPEUTIC DRUG**
Group Art Unit: **Unknown**
Examiner Name: **Unknown**
Attorney Docket Number: **TSU-008**

I hereby appoint:

☒ Practitioners at Customer Number **038051**

OR

☐ Practitioner named below:

Name
KIRK HAHN

Registration Number
51,763

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Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

<input type="checkbox"/> Firm or Individual Name	Kirk Hahn			
Address	14431 Holt Avenue			
Address				
City	Santa Ana	State	California	Zip 92705
Country	United States of America			
Telephone	714-544-2934	Fax	714-544-2934	

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name **Toshiro SHIRAKAWA**

Signature **白川 利雄**

Date **6 Apr 2006**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of **3** are submitted.

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